

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Nesmith et al.

Serial No. 09/460,045

Filed: December 13, 1999

For: COMPUTERIZED METHOD AND  
SYSTEM FOR TRADING OF  
SECURITIES



§ Examiner: Unknown

§ Group Art Unit: 2768

§ Atty. Dkt. 5226-00600

Certificate of Mailing 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below:

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*Jackie L. Pitre*  
Jackie L. Pitre

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INFORMATION DISCLOSURE STATEMENT

APR 10 2002

Technology Center 2100

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant respectfully requests that the Examiner consider the following U.S. Patent applications: 09/629,657, 09/629,356, 09/676,019, 09/876,270, 09/866,500, 09/885,817, 09/885,865, 09/970,078, 09/934,448 and 10/014,948. A copy of each of the above-referenced applications is enclosed for the convenience of the Examiner. Each of the above-referenced patent applications is to be considered as "Trade Secret" materials. As such, each of the above-referenced applications has been sealed and clearly marked as "Trade Secret" materials. The enclosed applications are not open to the public. The enclosed patent applications are to be opened only by an Examiner or other authorized Patent and Trademark Office employees.

It is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. A copy of each listed document is enclosed for the convenience of the Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5226-00600/EBM.

Respectfully submitted,

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